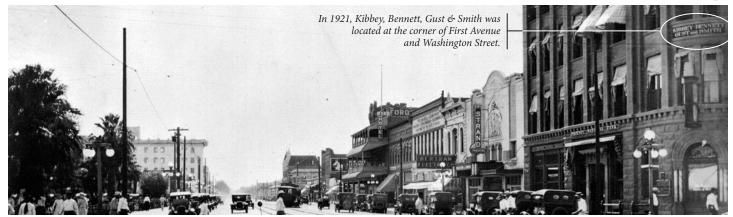
FALL 2021

# **Gust Rosenfeld at 100 Years and Counting**



Nine years after Arizona attained statehood, two prominent Phoenix law firms, Kibbey & Bennett and Gust & Smith merged to form Kibbey, Bennett, Gust and Smith. Their first office was located at the corner of 1st Avenue and Washington Street, one block from our current office. This marked the formal beginning of what is now Gust Rosenfeld. The story of Gust Rosenfeld's founding members started long before they merged in 1921.

In 1888, Joseph H. Kibbey relocated his family to Arizona, and he started practicing law in 1893. He served as a Superior Court judge, a city attorney, in the Territorial Legislature, as an Arizona Supreme Court justice, and as Territorial governor. In 1891, Walter Bennett arrived in Arizona after obtaining his legal training in Illinois. He was a prominent attorney and was active in the Territorial and State Bars. Together, they formed the firm of Kibbey & Bennett in 1909.

In 1907, Frank O. Smith arrived in Arizona after graduating from Northwestern Law School in Chicago. He was a law professor and served for several years as a Superior Court judge. He was well known for his work with charities. In 1909, John L. Gust arrived in Arizona, also graduating from Northwestern Law School. He was one of only a few lawyers in Arizona at the time with a law degree, and he was known as Arizona's first bond lawyer. They formed the firm of Gust & Smith in 1920.

Not long after the merger of Kibbey & Bennett and Gust & Smith, Fred W. Rosenfeld joined the firm in 1924. He led the development of the firm's nationally regarded municipal bond practice, a reputation that continues today. The firm has had three generations of the Gust family (John, Devens and Morgan)

SEE 100 YEARS INSIDE



# **Celebrating Fred H. Rosenfeld's More Than 60 Years in Practice**

We celebrate Fred H. Rosenfeld's more than 60 years in the practice of law in Arizona as he nears a well-earned retirement. From building the original flying Dumbos for Disneyland (more later) to appearing before the Supreme Court of the United States in a case which established the precedent that the Equal Protection clause requires that non-property owners be allowed to vote in bond elections, this Arizona native has had a memorable career in the "family business" of Arizona bond law.

Fred grew up near 7th Avenue and Glendale Avenue in Phoenix on a family farm. Fred's father, Fred W. Rosenfeld, was one of the first bond lawyers in the state and was instrumental in drafting the legislation and creating precedent for financing municipal and public projects that were vital to Arizona's early development.

SEE FRED ROSENFELD INSIDE

#### **100 YEARS** FROM FRONT PAGE

and two generations of the Rosenfeld family (Fred W. and Fred H.). After several changes in its name over the years as its leading members changed, the firm shortened its name to Gust Rosenfeld in 1992.

To serve the needs of its clients, Gust Rosenfeld expanded to Tucson in 1985 as one of the first Phoenix firms to do so. In 2014, the firm opened an office in Wickenburg, and the firm now has small offices in Las Vegas, Los Angeles, Albuquerque and Denver. With 55 lawyers, the firm continues its rich tradition of serving its clients, the legal community and local communities.

We offer legal counsel to clients ranging from individuals to Fortune 100 companies and in virtually all areas of law other than criminal. Following the example of our founding members, our attorneys and staff actively contribute to local and regional philanthropic causes, serving on professional and not-for-profit boards and providing pro bono legal services to organizations.

Gust Rosenfeld's attorneys mesh their legal knowledge and, in many cases, decades of experience with the specific needs of the array of clients we serve. Our full-service team of attorneys, paralegals and staff provides comprehensive legal advice and practical solutions for the firm's clients. Our primary focus is to understand each client's goals and objectives and their challenges to receive efficient and effective legal services. This approach to the practice of law has enabled Gust Rosenfeld to thrive and maintain the tradition established by its founding members.

Michael H. Bate | 602.257.7406 | mhbate@gustlaw.com Mike has a broad practice, including trusts & estates, businesses & entities, mergers & acquisitions, and tax matters & tax-exempt organizations.

#### FRED ROSENFELD FROM FRONT PAGE

Fred fondly recalls tagging along with his father on trips to downtown Phoenix and was always impressed by how his father knew just about everybody in the street. Such trips during his father's distinguished 54-year career in municipal bond law were pivotal in Fred's decision to follow in his father's footsteps in becoming an attorney by attending the University of Arizona for both his bachelor's and law degrees.

Prior to graduating from law school in 1961, Fred was drafted into the U.S. Army, where he received training as a clerk-typist at Fort Leonard Wood, Missouri, and served in the reserve for six years. Although Fred just missed being called up for active duty, he did put his Army and law school training to good use by participating in special proceedings for the Army. This experience served him well, because the work allowed him to meet just about every lawyer in Phoenix and gave him a good idea of how the court system worked.

After graduating from law school, Fred started work as a solo practitioner in Phoenix and had the opportunity to become an investigative attorney for a committee in the Arizona Legislature, whose work led to the impeachment of three members of the Arizona Corporation Commission. After that experience, Fred decided to join his father's firm in 1964 as its ninth attorney and begin his 57-year tenure with what would become Gust Rosenfeld P.L.C. Fred initially practiced in the area of commercial litigation and decided to pursue bond law in 1969.

With his 52 years' experience as a bond lawyer, Fred has worked on bond issues for every layer of government in Arizona and has had his hands on most of the major school bonds in the state's history. Fred's work has included the then-biggest bond deal ever done by a water conservation district – the Central Arizona Water Conservation District, with nearly \$700 million in bonds. In addition to bond work, Fred has represented governmental entities before the Arizona Legislature.

Over the years, Fred has always emphasized collegiality on his bond transactions and has prided himself on the long-term relationships he has forged with both his issuer clients and the investment bankers who purchase the bonds. Fred attributes these relationships, the firm's client base, and the ever-growing increase in Arizona's population (from approximately 1.3 million in 1960 to approximately 7.1 million in 2020) to the firm's successful 100-year history. Although Fred has worked on many large financings over the decades, he takes special pride in the many small elections on which he has worked, which led to the pavement of streets, the supply of sanitary water, and the construction of schools all over Arizona.

In furtherance of his professional development, Fred has been an active member in the National Association of Bond Lawyers and was awarded the Bernard P. Friel Medal in 2019 in recognition of his decades of distinguished service in the field of public finance. In addition, Fred is a co-founder and charter member of the American College of Bond Counsel, a small invitation-only group of bond lawyers.

While bond work has kept Fred busy for decades, he has still found time to be an active member, moderator, panelist, and researcher with Arizona Town Hall, an organization that explores and makes recommendations on critical issues facing the state.

What about those flying Dumbos? In 1955, Fred, then fresh out of high school, spent the summer looking for adventure in southern California and ended up working for a plastics company that had a contract to build the outer shells of the flying Dumbos for Disneyland.

"I used to put my initials in the legs," Fred said. "I always threatened to look inside when I rode the Dumbo ride with my kids."

James T. Giel | 602.257.7495 | jgiel@gustlaw.com Jim practices in the area of public finance.

## **100 Charity Challenge**

In celebration of Gust Rosenfeld's 100th anniversary, **Managing Partner Tom Chauncey** challenged the firm to donate to 100 local charities by pledging up to \$100,000. For every charity to which a Gust Rosenfeld attorney or staff member contributed at least \$25, Tom added \$1,000 to the donation. These 101 charities received donations from GR employees, with matching by Tom, totaling \$116,883.

Friendly House, Inc.

Family Promise

Free Arts for Abused Children

of Arizona

The Pet Knot

Rotten Rottie

Northern AZ Animal Search

and Rescue

Follow Your Heart Foundation,

Inc.

Lost Our Home Pet Rescue

Hope & A Future

Kitchen On The Street, Inc.

Boys & Girls Clubs of the Valley

Arizona Children's Association

Sojourner Center

Andre House

Duet Partners in Health &

Aging, Inc.

Arizona Animal Welfare League

New Life Center

Bridging AZ Furniture Bank, Inc.

Ability 360

Casa de los Niños

Therapeutic Riding of Tucson

Hospice of the Valley

Hunkapi Programs, Inc.

Arizona Association for Foster and Adoptive Parents

Maggie's Place

John Volken Academy

Arizona Friends of Foster Children

Desert Stages Theatre

Big Brothers and Big Sisters of

Central Arizona

St. Mary's Food Bank

Mission 228

Boost a Foster Family

Salt River Wild Horse Management Group

Hope Women's Center

Save the Family

Asian Pacific Community in

Action

Pittie Me Rescue

The Foundation for Blind Children

Cilitaten

Chicanos Por La Causa

Rosie's House: A Music Academy For Children

Southwest Tennis Foundation

Homeless Youth Connection

Arizona Warrior Hockey

Charities

Paz de Cristo Community Center

A New Leaf

Arizona Center for Nature Conservation/The Phoenix Zoo

Aid to Adoption of Special Kids

Food for the Hungry

Ohana

Diving Devil Dogs of Arizona

Daisy Mountain Veterans

First Things First

Tu Nidito Children & Family

Services

notMYkid

AZ Small Dog Rescue

Wildfire

Rubicon Rescue

Downtown Dog Rescue

Wigged Out

Jose's Closet

Crowns of Courage

Kitty Kat Rescue

Campbell's Crew Cares

Donor Network of Arizona

Arizona Helping Hands

Tierra Madre Horse and Human Sanctuary

Humane Animal Rescue and Trapping Team

Arizonans for Children, Inc.

The Welcome to America Project one n ten

Habitat for Humanity Central Arizona

Back to School Clothing Drive

HALO Animal Rescue

Animals (and Humans) in Disaster, Inc.

Pinal-Gila Council for Senior Citizens

Dress for Success Phoenix

Horses Help

Sunshine Dog Rescue, Inc.

Saving Paws Rescue AZ

Skate After School

Boys Hope Girls Hope

SAVAHCS Fisher House

Sunshine Acres Children's Home

Puppy Luv Animal Rescue

University of Arizona

Foundation Campus Pantry

Believe Beyond Ability

Open Arms Care Center

Erik Hite Foundation

Amanda Hope Rainbow Angels

Prevent Child Abuse Arizona

Southwest Wildlife Conservation

Center

Blue Ridge Fire Dept. Auxiliary

American Service Animal Society

The 100 Club of Arizona

Hoofbeats with Heart

Humane Society of Southern Arizona

Arizona Patriot Guard Riders

Eve's Place Inc.

RAMMS (Recreation Association of Madison Meadows & Simis)

Child Crisis Arizona

Happy Hooves



Gust Rosenfeld raised \$2,800 for Arizona Animal Welfare League during its 2020 Virtual Walk to Save Animals.

# Best Lawyers in America<sup>©</sup> 2022 Names Five Gust Lawyers "Lawyer of the Year;" Five as "Ones to Watch;" and 33 as Leaders in Their Field





Best Lawyers in America© selected these Gust Rosenfeld attorneys Lawyers of the Year: photo, left (l. to r.): GR Tucson attorneys Michael Woodlock and Mark Collins; and; photo, right (l. to r.): GR Phoenix attorneys Rob Haws, Fred Cummings and Christina Noyes.

#### **Lawyers of the Year**

This honor is awarded to only a single lawyer in each practice area in a community.

- Mark L. Collins Litigation Real Estate (Tucson)
- Frederick M. Cummings –
   Professional Malpractice Law –
   Defendants (Phoenix)
- Robert D. Haws Education Law (Phoenix)
- Christina M. Noyes Franchise Law (Phoenix)
- Michael S. Woodlock Litigation Construction (Tucson)

#### **Ones to Watch**

Awarded to attorneys who have been in practice fewer than 10 years.

- **A. Daniel Coumides** (Commercial Litigation)
- Samantha Winter McAlpin
   (Litigation Trusts and Estates; Trusts and Estates)
- Mina C. O'Boyle (Litigation Real Estate; Real Estate Law)
- Melissa S. San Angelo (Commercial Litigation; Litigation – Labor and Employment; Medical Malpractice Law – Defendants; Personal Injury Litigation – Defendants)
- Robert Williams (Bankruptcy and Creditor Debtor Rights / Insolvency and Reorganization Law; Commercial Litigation; Litigation – Bankruptcy)

#### **Best Lawyers**

- Michael H. Bate (Tax Law)
- Laura Sever Blanco (Real Estate Law)
- Kent E. Cammack (Bankruptcy and Creditor Debtor Rights / Insolvency and Reorganization Law; Litigation – Real Estate; Real Estate Law)
- Tom Chauncey II (Corporate Law)
- Mark L. Collins (Litigation Real Estate; Real Estate Law)
- Peter Collins, Jr. (Commercial Litigation; Insurance Law; Personal Injury Litigation
   Defendants; Personal Injury Litigation
   Plaintiffs)
- Frederick M. Cummings (Medical Malpractice law – Defendants; Personal Injury Litigation – Defendants; Product Liability Litigation – Defendants; Professional Malpractice Law – Defendants)
- Patrick J. Farrell (Corporate Law)
- Susan D. Goodwin (Land Use and Zoning Law; Municipal Law)
- Jay R. Graif (Litigation Insurance)
- Thomas E. Halter (Real Estate Law)
- Robert D. Haws (Education Law;
   Employment Law Management; Litigation
   Labor and Employment)
- Richard B. Hood (Commercial Litigation)
- James W. Kaucher (Litigation Health Care; Professional Malpractice Law – Defendants)
- Craig L. Keller (Real Estate Law)
- Jennifer N. MacLennan (Education Law)
- Scott A. Malm (Real Estate Law)
- Barry M. Markson (Personal Injury Litigation – Defendants)

#### **Best Lawyers Continued**

- Andrew J. McGuire (Land Use and Zoning Law; Municipal Law; Real Estate Law)
- Christopher M. McNichol
   (Bankruptcy and Creditor
   Debtor Rights / Insolvency and
   Reorganization Law; Real Estate Law)
- Christina M. Noyes (Corporate Law; Franchise Law)
- Sean P. O'Brien (Bankruptcy and Creditor Debtor Rights / Insolvency and Reorganization Law)
- **Gerard R. O'Meara** (Litigation Banking and Finance)
- Barbara U. Rodriquez-Pashkowski (Environmental Law; Natural Resources Law)
- Frederick H. Rosenfeld (Corporate Law; Municipal Law; Public Finance Law)
- Shiela B. Schmidt (Municipal Law)
- Susan Plimpton Segal (Education Law; Employment Law – Management; Municipal Law)
- **J.T. Shoaf** (Litigation Insurance)
- William S. Sowders (Product Liability Litigation Defendants)
- Timothy A. Stratton (Municipal Law)
- Frank S. Tomkins (Real Estate Law)
- Charles W. Wirken (Appellate Practice; Franchise Law)
- Michael S. Woodlock (Construction Law; Litigation – Construction; Real Estate Law)

### **Gust Rosenfeld Gives 100**

To celebrate Gust Rosenfeld's 100th anniversary and 100 years of service, the firm donated service, goods and money to several worthy causes throughout the state, with a common theme of "Giving 100." We selected five charities to support--including an employee blood drive--over and above the many ways we already serve the community.

#### Gust Rosenfeld helped:

- the Community Food Bank of Southern Arizona's Farm to Table event to provide 100 meals a day for 100 days to those in need in Southern Arizona;
- the Phoenix Human Services Campus by donating 100 pairs of shoes and 100 cases of water to help those experiencing homelessness and needing help getting back on their feet;
- Soldier's Best Friend with 120 backpacks, 102 collapsible bowls, 100 bags of treats, and 100 collars enabling 100 veterans paired with a rescue dog to start their training;
- the American Red Cross by hosting a blood drive where 43 staff and attorneys participated to donate enough blood helping more than 100 patients;
- and Phoenix Children's Hospital by providing costumes for 100 patients as part of the hospital's Halloween celebration.







Gust Rosenfeld partnered with the American Red Cross by hosting a blood drive with staff and attorneys.

# ET·Y·MOL·O·GY COR·NER

# The Importance of Tradition

Traditions permeate our lives, whether social or religious. They mark how we live and define what we believe to be important. The word "tradition" comes from the Latin "tradere" meaning to pass down or hand over for safekeeping. The origins of traditions are generally oral and are the basis for long-established customs and practices. Service to the community is taught by example and passed down as a cultural tradition. It is as much a part of Gust Rosenfeld as the profession we practice.

Richard B. Hood | 602.257.7470 | rbhood@ gustlaw.com Rick, our etymologist, practices in the areas of

commercial law and litigation.



As part of a tribute to veterans and first responders, Gust Rosenfeld attorney Peter Collins and fellow former pilots helped raise \$5,000 toward a total \$150,000 for Therapeutic Riding of Tucson (TROT) at a charity event in September. From left Lt. Bob Breault, Lt. Col. Peter Collins, Stacie Hudgeons of TROT and Col. Bob Barnett.



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# -NEW FACES



Michael C. S. J. Goodman (Phoenix Office)

Michael Goodman's practice focuses on government, municipal, and public law. He handles municipal matters including land use, development, open meeting law, conflict of interest, public records, construction law, elections, campaign finance law, annexations, civil rights, code enforcement and compliance,

community facilities districts, procurement, utilities, and water resources.

Michael earned his J.D. from the Sandra Day O'Connor College of Law at Arizona State University and his B.S. in Sociology from Arizona State University. While in law school, he gained legal experience externing and clerking for various law firms and organizations, including the City of Tempe and the U.S. Department of the Interior. He also practiced as a Certified Limited Practice Student through the ASU Civil Litigation Clinic.



#### John Austin Gaylord (Phoenix Office)

John ("Austin") Gaylord's practice focuses on public law, including city, town, and county government. He handles municipal matters including land use, development agreements, conflicts of interest, public records, construction law, annexations, civil rights, code enforcement and compliance, community facilities districts, procurement, utilities, water

resources, and civil litigation.

Before joining the firm, Austin served as senior legal counsel to the President of the Republic of Palau, where he advised on environmental, economic development, and resource issues and public infrastructure projects including airport, renewable energy, telecom, and sport and conference facility development. He has also served as an assistant city prosecutor for the City of Mesa.

Austin earned his J.D. from the Sandra Day O'Connor College of Law at Arizona State University, where he was an Editor-In-Chief of The Law Journal for Social Justice. He also earned a Master of Public Administration and B.A. in Political Science and History from Arizona State University.

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